



Patent
Attorney's Docket No. 010830-117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)

Krzysztof MASTERNAK et al.)

Application No.: 09/840,243)

Filed: April 24, 2001)

For: NEW TRANSCRIPTION FACTOR OF)
MHC CLASS II GENES, SUBSTANCES)
CAPABLE OF INHIBITING THIS NEW)
TRANSCRIPTION FACTOR AND)
MEDICAL USES OF THESE)
SUBSTANCES)

Group Art Unit: 1623

Examiner: Unassigned

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**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Date: October 4, 2001



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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

OTHER DOCUMENTS

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3. ZHOU et al., "Human MHC Class II Gene Transcription Directed by the Carboxyl Terminus of CIITA, One of the Defective Genes in

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 6. ALKHATIB et al., "Cloning and expression of cDNA for human poly(ADP-ribose) polymerase", *Proc. Natl. Acad. Sci.*, 1987, pp. 1224-28, vol. 84, National Academy of Sciences, Washington, D.C.
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 14. SANCHEZ et al., "The DNA-Binding Defect Observed in Major Histocompatibility Complex Class II Regulatory Mutants Concerns Only One Member of a Family of Complexes Binding to the X Boxes of Class II Promoters", *Molecular and Cellular Biology*, 1992, pp. 4076-83, vol. 12(9), American Society for Microbiology, Washington, D.C.
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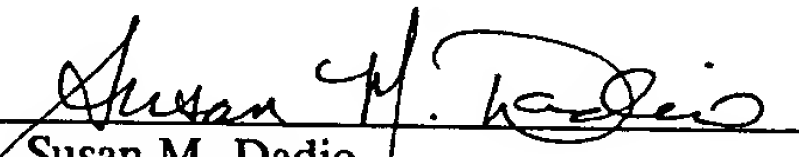
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The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

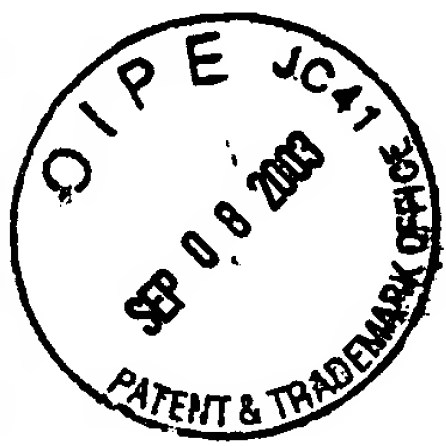
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 
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P.O. Box 1404
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Date: October 4, 2001



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**COMMUNICATION REGARDING INFORMATION DISCLOSURE STATEMENT
AND SUBMISSION OF PTO-1449 FORMS**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

On October 4, 2001, Applicants filed an Information Disclosure Statement citing seventy-one references. A copy of each document was also enclosed. Upon review of the file, the undersigned attorney noted that the PTO-1449 forms were inadvertently omitted from the submission. Accordingly, enclosed herewith are the PTO-1449 forms (4 pages) listing each document cited in the Information Disclosure Statement filed on October 4, 2001.

It is noted for the record that, despite the inadvertent omission of the PTO-1449 forms with the October 4, 2001 filing, such submission should still be considered to be in compliance with 37 C.F.R. § 1.97 and 37 C.F.R. § 1.98 as of October 4, 2001 since a list

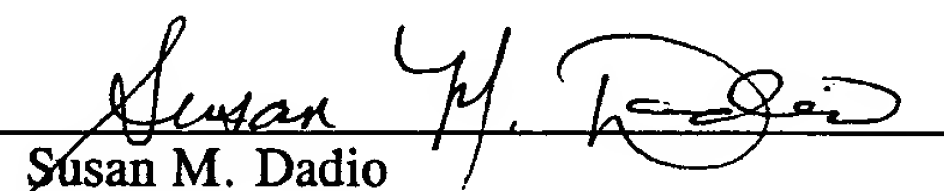
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of all the documents with the appropriate identifying information (albeit not on PTO-1449 forms) along with a copy of each document were provided before the first Office Action on the merits.

In the event that there are any questions relating to this communication, or the application in general, it would be appreciated if the Examiner would telephone the undersigned attorney concerning such questions so that prosecution of this application may be expedited.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Date: October 5, 2001



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANTATTORNEY'S DKT NO.
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09/840,243APPLICANT
Krzysztof MASTERNAK et al.FILING DATE
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U.S. PATENT DOCUMENTS					
Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)	
	Number	Kind Code (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no

NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	KARLSSON, "Separation Efficiency of Slurry-Packed Liquid Chromatography Microcolumns with Very Small Inner Diameters", <i>Anal. Chem.</i> , 1988, pp. 1662-65, vol. 60, American Chemical Society, Washington, D.C.
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.

010830-117

APPLICATION No.

09/840,243

APPLICANT

Krzysztof MASTERNAK et al.

FILING DATE

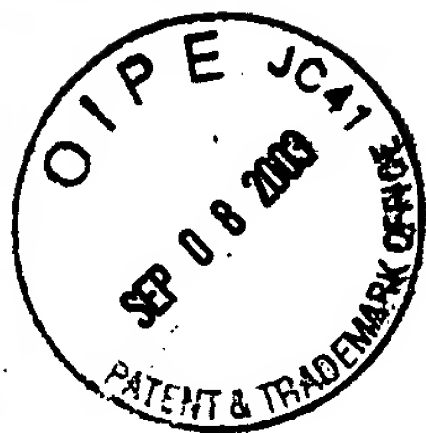
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 ATTORNEY'S DKT NO.
010830-117

 APPLICATION NO.
09/840,243

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